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Discrimination of aflatoxin contamination level in nutmeg by fluorescence fingerprint measurement

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17 Abstract

18A fluorescence fingerprint (FF), also known as an excitation-emission matrix, was used to 19develop a new method of classifying nutmeg contaminated with aflatoxins. The experimental 20samples were collected from nutmeg with a wide range of fungal contamination levels. After 21grinding the samples, FF measurement and high-performance liquid chromatography (HPLC) 22analysis were carried out. The total concentration of aflatoxins ($AFB_1 + AFB_2 + AFG_1 + AFG_2$; 23total AF concentration) in the samples was determined using HPLC, which varied from 0.0 to 241781.8 ppb. The FFs of the same samples were measured with a fluorescence 25spectrophotometer. Although the FF patterns changed with increasing total AF concentration, 26the trend was unclear at lower concentrations. Threfore, models for predicting or classifying AF 27contamination in nutmeg from FF patterns were developed using partial least-squares 28regression (PLSR). The total AF concentrations predicted by the PLSR model showed a 29 positive correlation to the actual concentration with a coefficient of determination of 0.69. 30 Moreover, the variable importance in projection plot indicated that fluorescence from AFs as 31well as from kojic acid derivatives was important for the prediction of total AF concentration. 32Finally, it was indicated that samples with a total AF concentration of 10 ppb or higher could be 33 reliably discriminated by setting the threshold to 2.2 ppb in the partial least-squares 34discriminant analysis (PLSDA). While no false negative was observed in the discrimination, the 35false positive rate was 13.3%. Future studies on nutmeg samples with different origins are 36 necessary to confirm feasibility of FF as a rapid and simple method of predicting aflatoxin 37contamination of nutmeg. 38

40 **1. Introduction**

41 Aflatoxins (AFs; aflatoxins B1, B2, G1, and G2) are cardinal toxic metabolites in human 42food such as rice, wheat, fruits, nuts, and spices in the world (Patterson & Jones, 1977)(Zöllner 43& Mayer-Helm, 2006)(Do & Choi, 2007). They are known as a strong carcinogen, an 44immunosuppressive substance, and a mutagen. Therefore, there are regulations for AFs 45concentration in many organizations and countries; the Japanese acceptable guideline for AFs in 46 food and feed is 10.0 ppb (Fujita, Hidaka, Tkehito, Mizuki, & Sugiyama, 2016), the US FDA 47guideline is 20.0 ppb (NGFA U.S, 2011), the Codex and Australian guideline is 15.0 ppb (Bash, 48 2015)(Authority, n.d.) and the European Union total aflatoxin limit is 4.0 ppb (The Commission 49 of the European Communities, 2010). 50AFs are produced with other secondary metabolites such as kojic acid derivatives by 51Aspergillus flavus, A. parasiticus and A. nomius. The fluorescence emitted by colonies of these 52fungi, which is due to kojic acid derivatives, is called bright greenish-yellow fluorescence 53(BGYF) (Steiner, Rieker, & Battaglia, 1988). It is a presumptive indicator of AF presence but 54not used as an inspection method because there are Aspergillus spp. that produce kojic acid 55derivatives but not AFs (Steiner et al., 1988). 56 For the reason mentioned above, several chemical methods of detecting AFs in food have 57been reported (Do & Choi, 2007). Thin-layer chromatography (TLC) and high-performance 58liquid chromatography (HPLC) are methods of detecting AFs using the autofluorescence of AFs. 59HPLC with mass spectrometry has high sensitivity and quantitativity (Zöllner & Mayer-Helm, 60 2006)(Lei Bao and Chengzhu Liang et al., 2013)(Tanaka et al., 2002)(Abbas, Shier, Horn, & 61 Weaver, 2004). Recently, the use of ELISA-based AFs screening kits has been developed as a 62 simple and rapid method of detecting AFs (Yu, Gribas, Vdovenko, & Sakharov, 2013). 63 However, these methods require a skilled operator, considerable time, toxic solvents and 64 expensive disposable columns such as immunoaffinity and multifunctional columns. These

65 make the aflatoxin test difficult to perform in the production field. Thus, the number of reports

66 on aflatoxin–contaminated spices (McKee, 1995)(Romagnoli, Menna, Gruppioni, & Bergamini,

67 2007) tended to increase and the regulation of import spices has become stricter over the years

68 (Commission, 2016).

69 Therefore, we developed a rapid method of predicting the total AF concentration in nutmeg

70 extract using fluorescence fingerprint (FF) (Fujita et al., 2013). The FF method has higher

71 detection sensitivity than conventional fluorescence measurements because it uses

72 comprehensive spectral data consisting of excitation × emission wavelength × fluorescence

73 intensity. Aflatoxin B group toxins are named for their blue fluorescence (425 nm) and aflatoxin

G group toxins for their green fluorescence (450 nm) under UV irradiation (Cole & Cox, 1981),

and these autofluorescence were thought to play an important role for the prediction of AF

76 concentration using FF. The method does not require complex preprocessing or expensive

columns. However, it entails the extraction of components using organic solvents such as

acetonitrile.

The objectives of this study were prediction of total AF concentration in nutmeg powder, and discrimination between samples could be accepted and discarded under Japanese guideline, 10 ppb, based on FF measurement without the solvent extraction procedure.

82

83 **2.** Materials and Methods

84 2.1. Samples

85 Ninety-one samples of roughly ground nutmegs were imported from Indonesia in 2014 and

86 2015. They included nutmegs potentially contaminated with AFs in the production site, which

87 are usually discarded by the sample provider's quality inspection. Samples were passed through

88 a 1 mm sieve for each lot and used as samples for HPLC analysis and FF measurement.

90 2.2. Sample preparation for FF measurement

91 Samples were ground to fine powder with a multi beads shocker (MB1001FC(S), Yasui Kikai, 92Osaka, Japan). First, 1.0 g of sample was put into a polycarbonate tube with a metal corn. Then, 93 they were frozen at -80°C for over 12 h to prevent oil effusion. Finally, the frozen 94 polycarbonate tubes sample and a metal corn were set into a multi beads shocker and 95 immediately ground. The grinding speed and duration were set to 1500 rpm and 45 sec, 96 respectively. 97 98 2.3. FF measurement 99 FF was measured with a fluorescence spectrometer (F-7000, Hitachi High-Technologies 100 Corporation, Tokyo, Japan). About 300 mg of fine nutmeg powder was put into a dedicated cell 101 for the measurement of the FF of powder samples. The measurement wavelength range was set 102 to 200–900 nm with 10 nm intervals for both excitation and emission. The photomultiplier 103 voltage was set to 500 V. The wavelength scanning speed was set to 30,000 nm/min with a 104 response time of 0.002 sec. The slit width for both excitation and emission was 10 nm. These 105conditions were decided in a preliminary experiment. The measurement was conducted using 106 the front-face method (Sádecká & Tóthová, 2007). Three replicates were obtained for each 107 sample. 108

109 2.4. Preprocessing of FF data

110 Preprocessing of FF data was conducted using R v3.3.1 software with the EEM package

111 v1.1.1 (Trivittayasil, V., 2016). The FF data, which were measured in the excitation

112 wavelength range of 250–700 nm and the emission wavelength range of 260–720 nm, were

113 used for the following data analyses to cut off unnecessary excitation and emission wavelength

ranges, whose noises could negatively affect the data analyses. Then the scattering rays and

115 two regions that are not related to fluorescence emission, namely, the regions where the

- emission wavelength is shorter than excitation light ($EM \le EX$) and the region above the
- 117 second-order scattering (EM $\geq 2*EX$), were deleted. Then, the median of the fluorescence
- 118 intensities of three replicates at each wavelength condition was calculated to construct
- representative FF data of each sample. Finally, the data was unfolded into 2-dimensional data
- 120 (Guimet, Ferré, Boqué, & Rius, 2004) whose rows represent samples and columns represent
- 121 EX/ EM wavelength combinations for the following data analyses.
- 122

123 2.5 HPLC analysis

124Twenty-five grams of sample was extracted with 250 mL of a solution of acetonitrile, water and 125methanol (6:4:1). The mixture was centrifuged (2500 rpm, 5 min) and the supernatant was used 126 as an extract. Five milliliters of the extract was adjusted to 50 mL with 2% Tween-20 aqueous 127solution and filtered with glass fiber filter paper (ADVANTEC GA-55, 15 cm, Toyo Roshi 128 Kaisha, Ltd.). An immunoaffinity column (AFLAKING, Horiba Seisakusho Co., Ltd.) was 129 loaded with 20 mL of the filtrate, washed with 10 mL of PBS containing 0.01% Tween, and 130 further washed with 10 mL of water. After removal of moisture in the column, AFs was eluted 131with 3 mL of acetonitrile. The eluate was dried and solidified in a nitrogen stream and dissolved 132in 1 mL of a mixed solution of acetonitrile and water (9: 1) to prepare a test solution. Ten 133 microliters of the test solution were injected into a high-performance liquid chromatograph 134 (LC-20AD, Shimadzu Corporation), and the concentrations of AF B1, B2, G1 and G2 in the

- 135 sample were determined from the calibration curves.
- 136

137 2.6. Prediction of total AF concentration using FF

138 The total AF concentration of nutmeg powder has been predicted by partial least squares

139 regression (PLSR) analysis. The preprocessed FF data and the total AF concentration measured

- 140 by chemical analysis served as explanatory and objective variables, respectively. The unfolded
- 141 FF data matrix contains a total of 91 samples with 2162 variables (46 excitation wavelengths \times
- 142 47 emission wavelengths). The data were sorted according to total AF concentration in
- 143 descending order. Then every third sample from the top was chosen as validation and the rest
- 144 was served as calibration. Thus, 61 samples were used as the calibration dataset, and 30 samples
- 145 were used as the validation dataset. Logarithmic transformation and mean centering as
- 146 preprocessing methods were applied to the FF data matrix and total AF concentration. Cross-
- 147 validation was performed within the calibration group to determine the suitable number of latent
- 148 variables. PLS regression was conducted using R v3.3.1 software with pls package v2.5–0
- 149 (Mevik & Wehrens, 2007).
- 150
- 151 2.7. Discrimination of total AF concentration by FF
- 152 FF data has been discriminated based on the total AF concentration by partial least– squares
- 153 discriminant analysis (PLSDA), which was carried out with caret v6.0–71 packages (Kuhn,
- 154 2008) using the same data as PLSR.
- 155
- 156 **3. Results and Discussion**
- 157 *3.1. FF measurement*

158 Figure 1 shows examples of the FF contour maps. The intensity of FF changed with increasing

- 159 AF concentration. There was no visible difference in FF pattern between 0.0 and 13.4 ppb,
- 160 whereas there was a definite difference in FF pattern between 0.0 and 153.9 ppb or 1781.8 ppb.
- 161 Especially, fluorescence intensity around excitation / emission wavelength range of 400-550/
- 162 450-600 nm was higher in the FF contour map of 1781.8 ppb than others.

163

164 *3.2. Prediction of total aflatoxin concentration*

165 Figure 2 shows a histogram of aflatoxin concentrations of calibration and validation data sets. 166 The number of samples below and above 10 ppb were 37and 24 for calibration, and 18 and 12 167 for validation, respectively. Figure 3 shows the correlation between the measured and predicted 168 aflatoxin concentrations of nutmeg by PLSR. The number of latent variables (LVs) was 169 determined to be two by cross-validation. The coefficient of determination for calibration (R^2C) 170was 0.6 and the root-mean-squared error of calibration (RMSEC) was 0.59 log (ppb), which is 171equivalent to 3.9 ppb. The log notation is omitted hereafter. The coefficient of determination for 172prediction (R^2P) was 0.69 and the root-mean-squared error of prediction (RMSEP) was 3.1 ppb. 173These results indicated difficulty in the quantitative prediction of total AF concentration, 174because there were large errors for both calibration and prediction relative to 10 ppb, which is 175the regulation value of total aflatoxin concentration in Japan.

176

177 3.3. Discrimination of total AF concentration by FF

178 In Fig. 3, the vertical and horizontal dashed lines both indicate 10 ppb for the measured and 179 predicted concentrations, respectively. Samples located above the horizontal line could be 180 considered as contaminated ones. The total AF concentration of those located on the right side 181 of the vertical line but under the horizontal one was under estimated to 10 ppb or less, although 182 the actual measured concentration is 10 ppb or more. In this manner, the results of PLSR 183 analysis could be used for pseudo discriminant analysis of AF contamination in the nutmeg 184 samples. Another discriminant analysis was carried out by PLSDA. When the threshold of 185 predicted concentration was 10.0 ppb, there was a 10% false negative error in calibration and 186 validation datasets results. Since the false negative error should be 0% in the production field to 187 prevent contaminated samples deemed as fine ones, the threshold of predicted concentration 188 was reduced from 10.0 to 1 ppb stepwisely to reduce the false negative error. In the case of 189 PLSR, there was no false negative error when the threshold of predicted concentration was set

190 at 4.0 ppb, as shown by the horizontal long dashed–dotted line in Fig. 3. This indicates that the

samples with the predicted total AF concentration of 4.0 ppb or less were within the regulation

value. Also, the proportion of false positive error under such a condition was 17.6% (Fig. 4).

193 Figure 5 shows the changes in the ratio of false negatives to false positives based on results of

194 PLSDA. The false negative rate reached 0% at 2.2 ppb, and the false positive rate was 13.3%,

195 which was lower than that in the case of PLSR analysis.

196

197 *3.4. Variable importance in projection (VIP) value derived from the PLS model*

198Figure 6 shows the VIP value derived from the PLSR model. Wavelengths with higher VIP

values contribute more to the PLS model (Wold, Sjöström, & Eriksson, 2001). There were some

200 peaks at excitation wavelengths of 250, 320, 390, 460, 520 nm, and at emission wavelengths of

201 420, 420, 490, 720, 640 nm, respectively. According to previous studies, the fluorescence peaks

202 of AFs are located at excitation wavelengths of 220, 260, 360 nm, and at emission wavelengths

203 of 420, 420, 450, respectively (Fujita et al., 2013). The fluorescence peak of kojic acid

204 derivatives is located at an excitation wavelength of 395 nm and a fluorescence wavelength of

205 495 nm (Hruska et al., 2014). Therefore, the peak observed at Ex390/Em490 nm, indicated by

arrow A, probably reflected the fluorescence of kojic acid derivatives and the peak observed at

207 Ex250/Em420 nm, indicated by arrow B, reflected that of AFs. On the other hand, it was

208 difficult to observe the peak at Ex360/Em450 nm, because it seemed to overlap with the peak of

209 the kojic acid derivatives (arrow A). Components corresponding to the peak at Ex320/Em410

210 nm could not be identified in this study. Thus, this model included not only information on

211 typical fluorescence peaks of AFs located at Ex250/Em420 nm but also those of kojic acid

derivatives located at Ex390/Em490 nm. On the other hand, the VIP values at Ex250/Em420

213 nm and Ex390/Em490 nm were 1.5 and 3.3, respectively. This suggests that the model largely

214 reflects the behavior of kojic acid derivatives in nutmeg rather than AFs.

216 4. Conclusion

217 In this study, we could develop a PLS model using FF measurement without organic solvent

218 extraction and expensive immunoaffinity columns. This method would be more suitable for

219 production field inspection than ELISA-based AFs screening kits, because it does not require a

skilled operator with scientific knowledge. The PLS model seemed to reflect the behavior of

221 AFs and kojic acid derivatives in contaminated nutmeg. It was difficult to quantify the total AF

222 concentration, because BGYF derived from kojic acid derivatives had a significant effect on the

223 PLS model. However, with 13.3% false positive rate, we could completely classify AF-

224 contaminated nutmeg samples by setting the classification threshold to 2.2 ppb, which indicated

the potential of FF as a rapid and simple method of predicting aflatoxin contamination of

226 nutmeg. The threshold for the discrimination of AF contamination was optimized using the

samples prepared for this study. Therefore, further studies on samples of different lots and

228 production areas are necessary to confirm feasibility of FF. Furthermore, in practical

applications, the discrimination threshold and corresponding acceptable false positive and false

230 negative rates should be determined on the basis of the number of samples for examination,

accuracy, and cost required at the inspection site.

232

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contaminated sample of nutmeg, which are usually discarded on the production site during
inspection.

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- 320

 $\begin{array}{c} 323\\ 324 \end{array}$



Fig. 1. Examples of the FF contour maps.



Histogram of calibration

Histogram of validation









Fig. 3. Correlation between the measured and predicted AF concentrations of the nutmeg powder for the calibration (top) and validation (bottom) datasets. The horizontal and vertical long dashed lines refer to 10.0 ppb, and the horizontal long dashed–dotted line refers to 4.0 ppb.



Fig. 4. Changes in the ratio of false negatives and false positives for the classification of AF contamination on PLSR against the classification threshold.



364





Classification threshold [log (ppb)]

 $\begin{array}{c} 366\\ 367 \end{array}$

368

Fig. 5. Changes in the ratio of false negatives and false positives for the classification of
 AF contamination on PLSDA against the classification threshold.

 $\begin{array}{c} 371\\ 372 \end{array}$



- 374
- 375
- 376



 $377 \\ 378 \\ 379$

 $\frac{384}{385}$

Fig. 6. Variable importance in projection (VIP) on the prediction of total AF
concentrations. The arrow A indicates the peak at Ex390/Em490 nm, probably reflected
the fluorescence of kojic acid derivatives and the arrow B indicates the peak at
Ex250/Em420 nm reflected that of AFs

Highlights

- Fluorescence fingerprints (FF) of nutmeg powder samples were measured.
- PLS models to predict aflatoxins (AF) in samples from FF patterns were developed.
- Samples with AF of 10 ppb or higher could be discriminated without false negative.
- PLS models reflected the behavior of AFs and kojic acid derivatives in samples.